

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of John M. COCKERHAM

Serial No.: 10/782,630

Group Art Unit: Not Yet Assigned

Filed: 02/19/2004

Examiner: Not Yet Assigned

For: **A SYSTEM AND METHOD FOR USER-CONTROLLED ON-LINE
TRANSACTIONS**

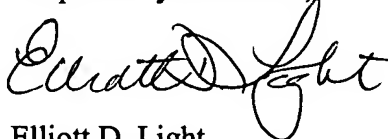
INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, Va 22313-1450

Dear Sir:

In accordance with 37 CFR § 1.56, Applicant hereby submits the following Information Disclosure Statement. The form PTO/SB/08 submitted, lists references which Applicant thinks may be relevant. These documents are not necessarily analogous art. It is respectfully requested that these documents be considered by the Examiner, and that the Examiner indicate consideration of the cited references by returning copies of the attached form PTO/SB/08 with initials or other appropriate marks affixed. Copies of the patent documents referenced in the form PTO/SB/08a are not enclosed pursuant to the PTO's waiver of the requirement under 37 C.F.R. § 1.98(a) for submitting a copy of each cited U.S. patent document for the U.S. applications for patent filed after June 30, 2003. Copies of the Non-Patent Literature Documents and Foreign Patent Documents are enclosed herewith.

Respectfully submitted,



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April 20, 2004

Atty. Docket No. 2647-001CIP3



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/782,630
Filing Date	02/19/2004
First Named Inventor	John M. COCKERHAM
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	2647-001CIP3

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 5,903,652	05/11/1999	Mital	
	2	US- 5,825,881	10/20/1998	Colvin, Sr	
	3	US- 5,781,632	07/14/1998	Odom	
	4	US- 5,715,314	02/03/1998	Payne et al	
	5	US- 5,526,428	06/11/1996	Arnold	
	6	US- 6,076,078	06/13/2000	Camp et al	
	7	US- 6,078,898	06/20/2000	Davis et al	
	8	US- 6,085,322	06/04/2000	Romney et al	
	9	US- 6,092,202	07/18/2000	Veil et al	
	10	US- 5,949,876	09/07/1999	Ginter et al	
	11	US- 5,862,223	01/1999	Walker et al	
	12	US- 4,906,828	03/06/1990	Halpern	
	13	US- 6,072,870	06/06/2000	Nguyen, et al.	
	14	US- 6,092,053	07/18/2000	Boesch, et al.	
	15	US- 4,103,486	08/01/1978	Hata, et al.	
	16	US- 6,401,206	06/04/2002	Khan, et al.	
	17	US- 6,345,098	02/05/2002	Matyas, Jr., et al	
	18	US- 6,307,956	10/23/2001	Black	
	19	US- 6,263,436	07/17/2001	Franklin, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	20	WO 0000928A	01/06/2002			
	21	WO 0013434A	03/18/1999			

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Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Number-Kind Code ² (if known)			
	22	US- 6,237,096	05/22/2001	Bisbee et al	
	23	US- 6,182,219	01/30/2001	Feldbau, et al.	
	24	US- RE 36,580	09/30/1862	Storer et al	
	25	US- 5,956,409	09/21/1999	Chan, et al.	
	26	US- 5,748,738	05/05/1998	Bisbee, et al.	
	27	US- 5,680,470	10/21/1997	Moussa, et al.	
	28	US- 5,659,616	08/19/1997	Sudia	
	29	US- 5,339,631	08/23/1994	Ohashi	
	30	US- 6,085,322	07/04/2001	Romney, et al.	
	31	US- 6,003,135 A	12/1999	Bialick et al	
	32	US- 5,585,612	12/17/1996	Harp, JR	
	33	US- 4,227,643	10/14/1980	Luther	
	34	US- 4,178,501	12/11/1979	Luther	
	35	US- 4,025,757	05/24/1977	McKay	
	36	US- 4,010,353	03/01/1977	Moldovan, JR	
	37	US- 5,878,399	03/02/1999	Peralto	
	38	US- 5,363,453	11/08/1994	Gagne	
	39	US- 5,412,727	05/02/1995	Drexler	
		US-			

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Sheet 3 of 3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	40	Ian Curry, "An Introduction to Cryptography and Digital Signatures", March 2001, Ver. 2.0, Entrust, Inc., Addison, Texas, USA.	

Examiner Signature	Date Considered
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